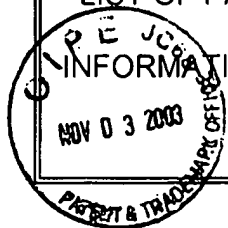


Form PTO-1449 (Modified)	Atty Docket No. ABACP0105US	Serial No. 09/852,994
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Berthold N. Schmidt et al.
Filing Date May 10, 2001		Group 2828

(Use several sheets if necessary)



## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
SM	5,844,931	12/1/1998	Sagawa et al.	372	45	
SM	6,200,837	3/13/2001	Ihn et al.	438	122	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No
SM	0 373 933 -	12/14/1989	EP				

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## OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
SM	Horie et al., "Thermal Rollover Characteristics up to 150 °C of Buried -Stripe Type 980-nm Laser Diodes with a Current Injection Window Delineated by a Sin <sub>x</sub> Layer, vol. 12, no. 1, January 2000, pages 13-15
SM	European Search Report regarding Application No. 02405380.3 mailed October 6, 2003

EXAMINER 	DATE CONSIDERED 4-04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

Z:\SEC154\MDS\ABACP105us\ptofrm.wpd (IDS1449.FRM) (2/97)